

Via FedEx Via Electronic Mail

May 7, 2020

Executive Director New Hampshire Public Utilities Commission 21 South Fruit Street, Suite 10 Concord, NH 03301-2429 www.feller.law

159 20th St., Suite 1B Brooklyn, NY 11232

P. 212 590 0145

info@feller.law

Re: Electric Broker Renewal Application of Electricity Ratings, LLC

To the Executive Director of the New Hampshire Public Utilities Commission:

Enclosed please find a pdf electronic filing of Electricity Ratings, LLC's ("Electricity Ratings") Electric Broker Renewal Application. Separately, an original and two (2) copies will be mailed to the N.H. Public Utilities Commission..

Due to the COVID-19 Emergency, Electricity Ratings does not have access to its standard information and equipment. As a result, the renewal filing could not be sent in a timely manner.

If any additional information is required, please feel free to reach out to me directly.

Respectfully,

/s/ Lindsay Sabedra
Lindsay Sabedra
Feller Law Group, PLLC
LindsaySabedra@feller.law

On behalf of Electricity Ratings, LLC

Enc.

Electricity Ratings, LLC Renewal Application as an Electric Aggregator

Puc 2006.02 Form for Initial and Renewal Registration of Competitive Electric Power Suppliers. (a) The registration application required by Puc 2003.01(a) and Puc 2003.02(b) shall include the following:

I. Applicant's General Information

1. 2006.02(a) The legal name of the applicant as well as any trade name(s) under which it intends to operate in this state, and, if available, its website address;

Name: Electricity Ratings, LLC

Trade Name: N/A

Website: www.texaselectricityratings.com

2. 2006.02(b)The applicant's business address, telephone number, e-mail address, and website address, as applicable;

Business Address: 1502 Sawyer St., Ste 130, Houston, TX 77077

Tel.: (713) 828-9096

Email: moberle@texaselectricityratings.com
Website: www.texaselectricityratings.com

3. 2006.02(c) The name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant if an individual, or of the applicant's principal(s) if the applicant is anything other than an individual;

Principal Name: Matt Oberle

Title: Managing Member, Electricity Ratings, LLC

Business Address: 1502 Sawyer St., Ste 130, Houston, TX 77077

Tel: (713) 828-9096

Email: moberle@texaselectricityratings.com

Name: Karl Trollinger

Title: CEO, Electricity Ratings, LLC

Business Address: 1502 Sawyer St., Ste 130, Houston, TX 77077

Tel: (713) 594-7819

Email: ktrollinger@texaselectricityratings.com

4. 2006.02(d) The telephone number of the applicant's customer service department or the name, title, telephone number and e-mail address of the customer service contact person of the applicant, including toll free telephone numbers if available;

Name: Matt Oberle

Title: Managing Partner and V.P. of Operations

Business Address: 1502 Sawyer St., Ste 130, Houston, TX 77077

Tel: (713) 828-9096

Toll Free Tel: (866) 303-9147

Email: moberle@texaselectricityratings.com

Customer Service Email: moberle@texaselectricityratings.com

5. 2006.02(e)(1) The name, title, business address, telephone number, and e-mail address of the individual responsible for responding to customer complaints;

Name: Matt Oberle

Title: Managing Partner and V.P. of Operations

Business Address: 1502 Sawyer St., Ste 130, Houston, TX 77077

Tel: (866) 303-9147

Email: moberle@texaselectricityratings.com

6. 2006.02(e)(2) The name, title, business address, telephone number, and e-mail address of the individual responsible for responding to regulatory compliance matters;

Name: Matt Oberle

Title: Managing Partner and V.P. of Operations

Business Address: 1502 Sawyer St., Ste 130, Houston, TX 77077

Tel: (866) 303-9147

Email: moberle@texaselectricityratings.com

7. 2006.02(e)(3) The name, title, business address, telephone number, and e-mail address of the individual responsible for responding to Commission Assessment Payments;

Name: Matt Oberle

Title: Managing Partner and V.P. of Operations

Business Address: 1502 Sawyer St., Ste 130, Houston, TX 77077

Tel: (866) 303-9147

Email: moberle@texaselectricityratings.com

II. Separate Attachments: Business Authority and Trade Name

8. 2006.02(f) A copy of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state, if anything other than an individual;

Please see Exhibit A_New Hampshire Authorization

9. 2006.02(g) Evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state

Not Applicable

III. Statements Regarding Applicants and its Principals

10. 2006.02(h) (1) Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court?

Neither applicant nor any of its principals has ever been convicted of any felony that has not been annulled by a court.

11. 2006.02(h) (2) Has applicant or any of its principals, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation?

Neither Applicant nor any of its principals, within the 10 years immediately prior to application, have had any civil, criminal, or regulatory sanctions or penalties imposed pursuant to any state or federal consumer protection law or regulation.

12. 2006.02(h) (3) Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?

Neither applicant or any of its principals, within the 10 years immediately prior to application, have settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation.

13. 2006.02(h) (4) Is applicant or any of its principals currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?

No, neither the applicant nor any of its principals are subject to any of the above.

14. 2006.02(h) (5) Has applicant or any of its principals been denied authorization to provide competitive electricity supply service or electric aggregation service in any other state or jurisdiction?

Neither applicant nor any of its principals have denied authorization to provide competitive electricity supply service or electric aggregation service in any other state or jurisdiction.

IV. Other States

15. 2006.02(i) Other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of electric customers:

States where active and broker license required:

- Illinois Electric / 17-0236 / June 27, 2017
- Maine Electric / 2018-00172 (July 13, 2018)
- Maryland Electric / IR-3891 / Oct 18, 2017
- Maryland Gas / IR-3892 / Oct 18, 2017
- Massachusetts Electric / EB-429 / (12/19/17)
- Massachusetts Gas / RA-203 (3/2/18)
- New Jersey / EA-0415 / July 25, 2017
- New Hampshire Electric / DM 18-086 (June 1, 2018)
- Ohio Electric / 17-1235-EL-AGG / June 16, 2017
- Ohio Gas / 17-1236-GA-AGG / June 16, 2017
- Pennsylvania Electric / A-2017-2618301
- Pennsylvania Gas / A-2017-2638656
- Washington DC Electric / EA 2018-14 / April 1, 2019
- Washington DC Gas / GA 2018-10 / April 1, 2019
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States where active but no broker license required:

California Connecticut New York

V. Statement Regarding Supplier Representation

16. 2006.02(j) Please respond to the following question with either "Yes" or "No." Is the applicant representing any supplier interest? If the response to the preceding question is "Yes," please list the supplier(s) represented. Use additional sheets if needed.

Yes, please see Exhibit B for list of suppliers.

VI. Expected Marketing Start Date

17. 2006.02(k) Please provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.

Electricity Ratings is currently marketing its services to customers in New Hampshire.

VII. Attestation and Signature

18. 2006.02(l and m)

BY SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE AGGREGATOR AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE.

Signature of the Applicant or its authorized representative:

Name: Matt Oberle

Title: Managing Partner and V.P. of Operations

Date: 3-27-2020

EXHIBIT A New Hampshire Department of State Registration



State of New Hampshire Department of State



Accepted Date: 12/13/2016

Business Name: ELECTRICITY RATINGS, LLC

Principal Office Address: 1502 Sawyer, Suite 130, Houston, TX, 77007, USA

RE: Acceptance of Business Formation

This letter is to confirm the acceptance of the following business formation:

Business ID: **761146**

Tracking#: **3386277**

Effective Date: 12/09/2016

Payment Transaction #: 133895

To maintain your business registration in good standing, you must maintain a Registered Agent at all times.

You must also file an annual report no later than April 1st of each year.

To file your annual report please go to http://www.sos.nh.gov/corporate/annualreport/.

It is incumbent upon you to keep this office informed of address or email changes to ensure that all communications from our office reaches you.

There is no charge for address changes.

Please visit our website for helpful information regarding all your business needs. If you require assistance or should you have any questions, you may contact the Corporation Division using the information provided below. Please reference your Business ID in your communication.

Thank you. New Hampshire Department of State Corporation Division



State of New Hampshire Department of State

Filed
Date Filed: 12/09/2016
Effective Date: 12/09/2016
Business ID: 761146
William M.Gardner
Secretary of State

Form FLLC-1 RSA 304-C:175

APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

PURSUANT TO THE PROVISIONS OF THE NEW HAMPSHIRE LIMITED LIABILITY COMPANY LAWS, THE UNDERSIGNED HEREBY APPLIES FOR REGISTRATION TO TRANSACT BUSINESS IN NEW HAMPSHIRE AND FOR THAT PURPOSE SUBMITS THE FOLLOWING STATEMENT:

FIRST: The name of the limited liability company is

ELECTRICITY RATINGS, LLC

SECOND: The name which it proposes to register and do business in New Hampshire is

ELECTRICITY RATINGS, LLC

THIRD: It is formed under the laws of **Texas**

FOURTH: The date of its formation is 10/29/2009

DURATION: The period of duration is **Perpetual**

FIFTH: The nature of the business or purposes to be conducted or promoted in New Hampshire is

NAICS CODE	NAICS SUBCODE
OTHER / Energy Broker	

SIXTH: The name of it's registered agent IN NEW HAMPSHIRE is Corporate Creations Network Inc

and the physical address, of its initial registered office **IN NEW HAMPSHIRE** is

3 Executive Park Drive 9,, Bedford, NH, 03110, USA

SEVENTH: The sale or offer for sale of membership interests of the limited liability company will comply with the requirements of the New Hampshire Uniform Securities Act (RSA 421-B).

PRINCIPAL OFFICE ADDRESS:

PRINCIPAL OFFICE BUSINESS ADDRESS	PRINCIPAL OFFICE MAILING ADDRESS
1502 Sawyer, Suite 130, Houston, TX, 77007, USA	1502 Sawyer, Suite 130, Houston, TX, 77007, USA

Exhibit

APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

Form FLLC-1 (Cont.)

ELECTRICITY RATINGS, LLC

CERTIFY:

By checking this box and continuing, each signatory certifies that the information provided herein is true, accurate, and complete to the best of his/her knowledge and belief, and that he/she has authorized the affixing of his/her electronic signature in accordance with the Electronic Signatures in Global and National Commerce Act (e-Sign) and N.H. RSA § 294-E. Further, each signatory understands that his/her electronic signature has full legal effect and enforceability and he/she intends this form, as signed, to be filed with the office of the New Hampshire Secretary of State.

EFFECTIVE DATE:

This statement shall be effective from: 12/09/2016

*Signature: Matt Oberle

Title: Member

Date signed: 12/13/2016

Complete address of person signing: 1502 Sawyer, Suite 130, Houston, TX, 77007, USA

Notice: The membership interests of the limited liability company: 1) have been registered or when offered will be registered under RSA 421-B; 2) are exempted or when offered will be exempted under RSA 421-B; 3) are or will be offered in a transaction exempted from registration under RSA 421-B; 4) are not securities under RSA 421-B; OR 5) are federal covered securities under RSA 421-B. The statement above shall not by itself constitute a registration or a notice of exemption from registration of securities within the meaning of sections 448 and 461(i)(3) of the United States Internal revenue Code and the regulation promulgated thereunder.

DISCLAIMER: All documents filed with the Corporation Division become public records and will be available for public inspection in either tangible or electronic form.



Office of the Secretary of State

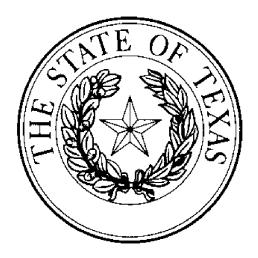
Certificate of Fact

The undersigned, as Secretary of State of Texas, does hereby certify that the document, Certificate of Formation for Electricity Ratings, LLC (file number 801188156), a Domestic Limited Liability Company (LLC), was filed in this office on October 29, 2009.

It is further certified that the entity status in Texas is in existence.

Delayed Effective date: October 30, 2009

In testimony whereof, I have hereunto signed my name officially and caused to be impressed hereon the Seal of State at my office in Austin, Texas on October 05, 2016.



Phone: (512) 463-5555

Prepared by: SOS-WEB

Carlos H. Cascos Secretary of State

TID: 10264

Dial: 7-1-1 for Relay Services Document: 692991440003

EXHIBIT B: Suppliers Represented

Exhibit B

2006.02(j) Please respond to the following question with either "Yes" or "No." Is the applicant representing any supplier interest? If the response to the preceding question is "Yes," please list the supplier(s) represented. Use additional sheets if needed.

List of Applicant Partner Suppliers in New Hampshire:

- 1. Direct Energy
- 2. Xoom Energy
- 3. ENH Power